



PATENT

Attorney Docket No. (0320-0020) <u>HOOV 120</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:

Michael D. Hooven

Serial No.: 10/015,476

Filed: December 13, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: SUB-XYPHOID METHOD FOR

ABLATING CARDIAC TISSUE

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application under 35 U.S.C. 102, and accordingly is not enclosed.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an

admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Second Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no additional fee is required for the submission of this Information Disclosure should an additional fee be required, Statement. However, authorization is hereby given to charge Deposit Account 50-1039. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

Date: \_ July 18, 2003

Bv: Gary W. McFarron, Esq.

Registration No. 27,357

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Attorneys for Applicant

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## **TRANSMITTAL FORM**

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Application Number	10/015,476
Filing Date	December 13, 2001
First Named Inventor	Michael D. Hooven
Group Art Unit	3739
Examiner Name	Rosiland S. Kearney
Attorney Docket Number	(HOOV 120) 0320-0020

ENCLOSURES (check all that apply)						
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group				
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences				
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After Final	Petition Petition	Proprietary Information				
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Second Status Letter				
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known Application Number 10/015,476 Filing Date December 13, 2001 First Named Inventor Michael D. Hooven Art Unit 3739 Examiner Name Rosiland S. Kearney Attorney Docket Number HOOV 120

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,549,636	08-27-1996	Li	
	2	<sup>US-</sup> 5,562,700	10-08-1996	Huitema et al.	
	-			<del></del>	
	3	<sup>US-</sup> 5,575,805	11-19-1996	Li	
	4	<sup>US-</sup> 5,855,590	01-05-1999	Malecki et al.	
	5	<sup>US-</sup> 6,264,087	07-04-2001	Whitman	
	6	<sup>US-</sup> 6,267,761	07-31-2001	Ryan	
	7	<sup>US-</sup> 6,273,887	08-14-2001	Yamauchi et al.	
	8	<sup>US-</sup> 6,296,640	10-02-2001	Wampler et al.	
	9	<sup>US-</sup> 6,391,024	05-21-2002	Sun et al.	
	10	<sup>US-</sup> 2001/0039419 A1	11-08-2001	Francischelli et al.	350-
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Applicant of Cited Document Where Rele	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T⁰	
	11	WO 01/82812 A1	11-08-2001	Medtronic, Inc.			
	12	WO 01/82813 A2	11-08-2001	Medronic, Inc.			
	13	WO 01/82813 A3	11-08-2001	Medtronic, Inc.			
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.